Informatics 1: Data & Analysis

Lecture 11: Navigating XML using XPath

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THE UNIVERSITY *of* EDINBURGH

Mid-course Feedback !

Keep doing this! It's working well

- Detailed explanations, coloured slides, blog, general organisation
- Printing handouts of lecture slides
- General extra information / tangents (but not too much)
- Practical applications and real-world examples
- Recap of previous lectures (but not too much)
- Being enthusiastic / even making the topic "mildly interesting"

Stop this! I don't find it helpful

- Talking so fast
- Too much time on administrative announcements
- Too much time on study skills (although a bit helpful)
- Brushing the microphone

Mid-course Feedback !

Start this! I think it's worth a try

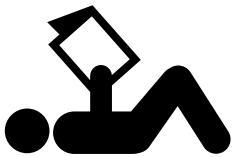
- Top Hat, more interaction in lecture (will try this)
- Online tests (good suggestion, takes a while to create them)
- Put tutorials up earlier (working on it)
- Go through exam questions (yes, this will happen)
- Music for start and end of lectures (?)
- Dolphins (???)

About you

What steps can you take to improve your own learning in this course?

- Read more
- Review lecture slides
- Work through examples in tutorials
- Attend lectures

Mid-course Feedback !



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4

31

3

18

Lecture Plan

XML

We start with technologies for modelling and querying *semistructured data*.

- Semistructured Data: Trees and XML
- Schemas for structuring XML
- Navigating and querying XML with XPath

Corpora

One particular kind of semistructured data is large bodies of written or spoken text: each one a *corpus*, plural *corpora*.

- Corpora: What they are and how to build them
- Applications: corpus analysis and data extraction

Homework from Week 5

Read This

Find out about Postel's law. This is significant when considering the design of XML, and when writing code to send or receive XML documents.

RFC 760: Internet Protocol

The implementation of a protocol must be robust. Each implementation must expect to interoperate with others created by different individuals. While the goal of this specification is to be explicit about the protocol there is the possibility of differing interpretations. In general, an implementation should be conservative in its sending behavior, and liberal in its receiving behavior. ...

John Postel, January 1980

Homework from Week 5

Read This

Find out about Postel's law. This is significant when considering the design of XML, and when writing code to send or receive XML documents.

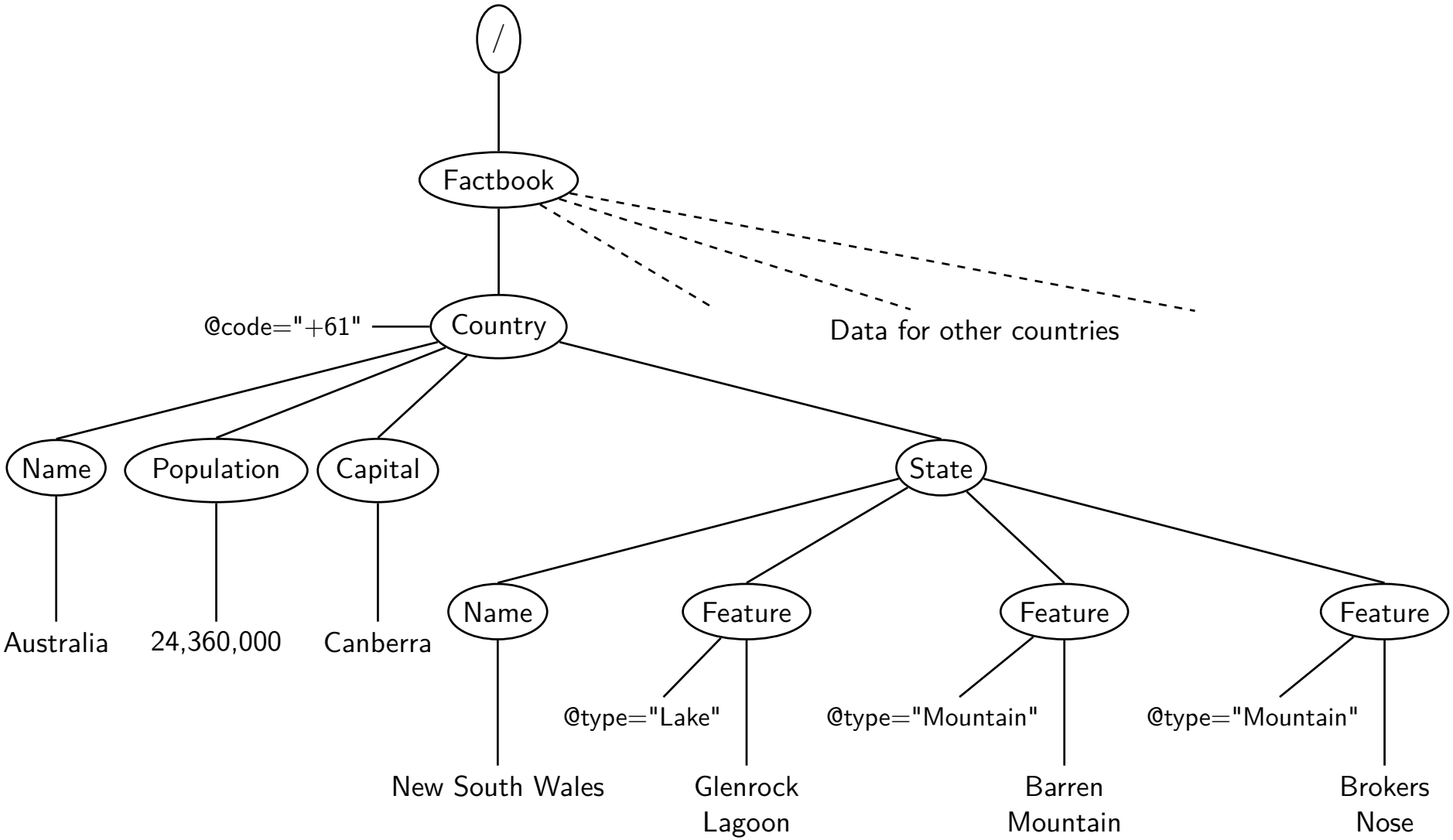
Postel's Law — A general principle of robustness

> Be conservative in what you do, be liberal in what you accept from others

Some consider the principle an essential component in the extraordinary success of the internet and, subsequently, the web.

However, the principle may also have some negative effects on security and maintainability. See, for example, "The Harmful Consequences of Postel's Maxim" (Martin Thomson, 2015).

Sample Semistructured Data



Sample Semistructured Data in XML

```
<Factbook>
    <Country code="+61">
        <Name>Australia</Name>
        <Population>24,360,000</Population>
        <Capital>Canberra</Capital>
        <State>
            <Name>New South Wales</Name>
            <Feature type="Lake">Glenrock Lagoon</Feature>
            <Feature type="Mountain">Barren Mountain</Feature>
            <Feature type="Mountain">Brokers Nose</Feature>
        </State>
    </Country>
    <!-- data for other countries here -->
</Factbook>
```

How to Extract Information from an XML Document?

Since an XML document is a text document, we could simply use conventional text search to look for data.

However, this ignores all the document structure.

A more powerful approach is to use a dedicated language for forming queries based on the tree structure of an XML document.

This is (yet another) *domain-specific language*.

With such a language we can, for example:

- Perform database-style queries on data published as XML;
- Extract annotated content from marked-up text documents;
- Identify information captured in the tree structure itself.

XQuery and XPath

XQuery is a powerful declarative query language for extracting information from XML documents.

As well as using XML documents for its source data, XQuery can also produce XML documents as output, so we can view it as an XML *transformation* language.

Interesting as the full XQuery language is, here we shall focus instead on a particular fragment.

XPath is a sublanguage of XQuery, used for navigating XML documents using *path expressions*.

XPath can be viewed as a query language in its own right.

It is also an important component of other XML application languages (XML Schema, XSLT, XForms, . . .).

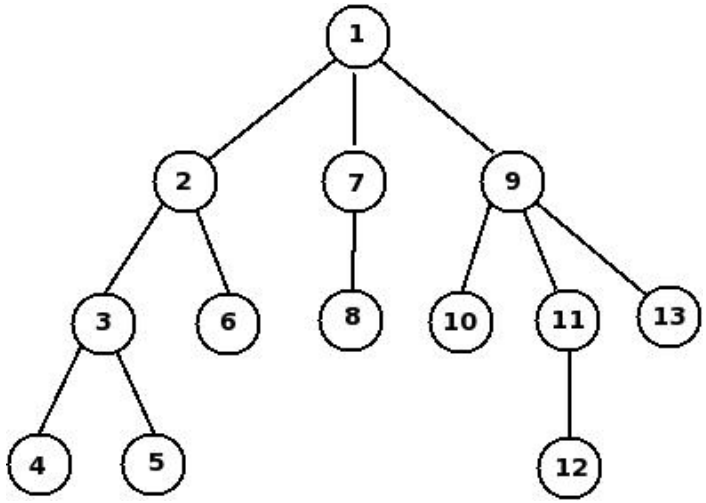
XPath Path Expressions

An XPath *path expression* (or *location path*) identifies a set of nodes within an XML document tree.

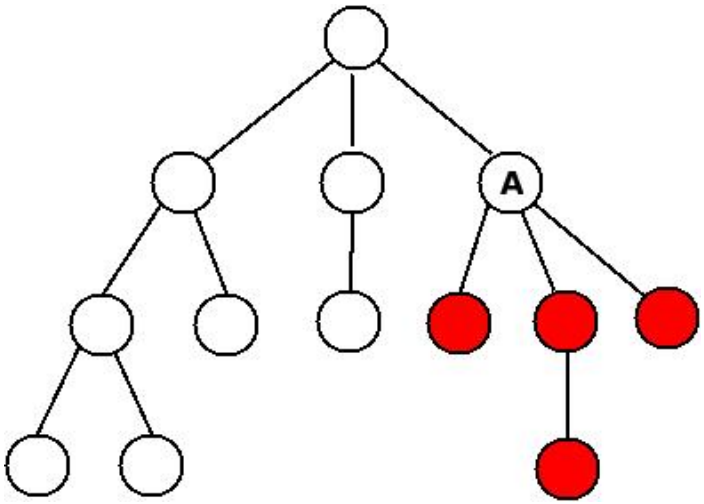
- The path expression describes a set of possible paths from the root of the tree.
- The set of nodes identified is all those reached as final destinations of these paths.

When using a path expression as a query on a document, this set of nodes is returned as a list (without duplicates) sorted in *document order* — the order the nodes appeared in the original XML document.

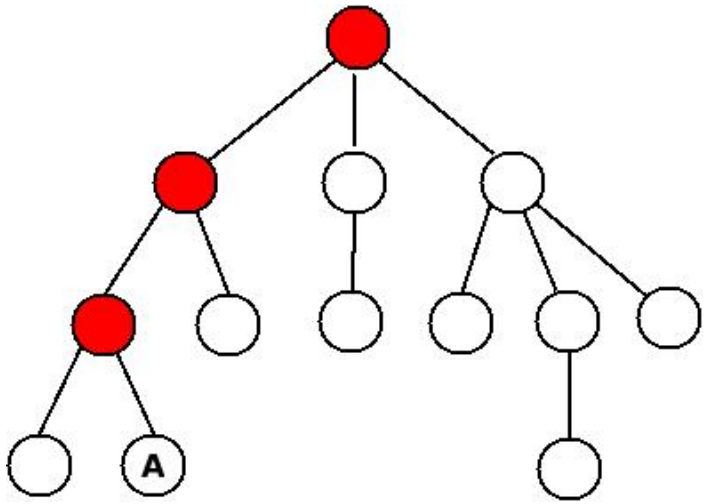
Family Tree Navigation



Document order

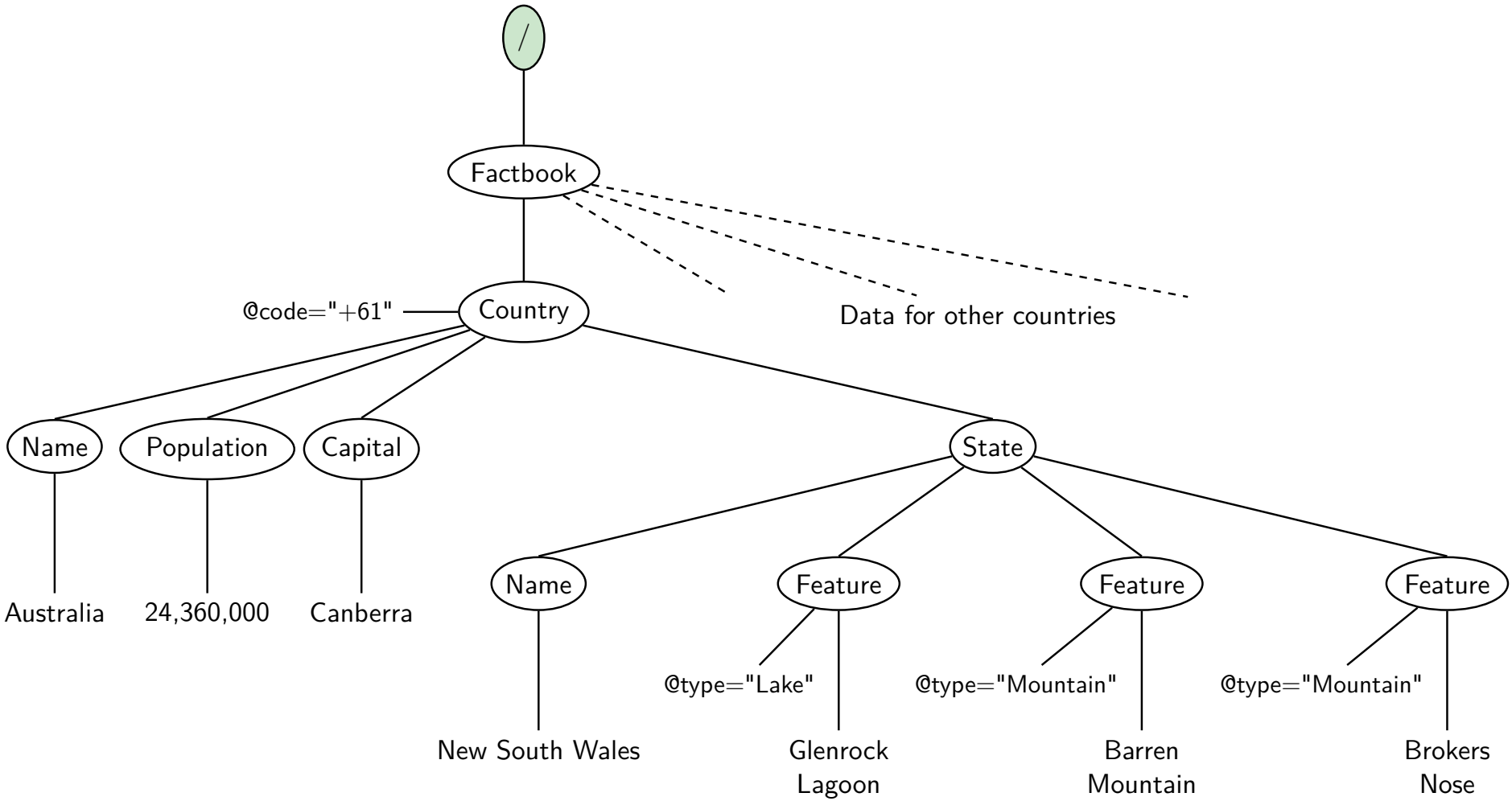


Descendants of A

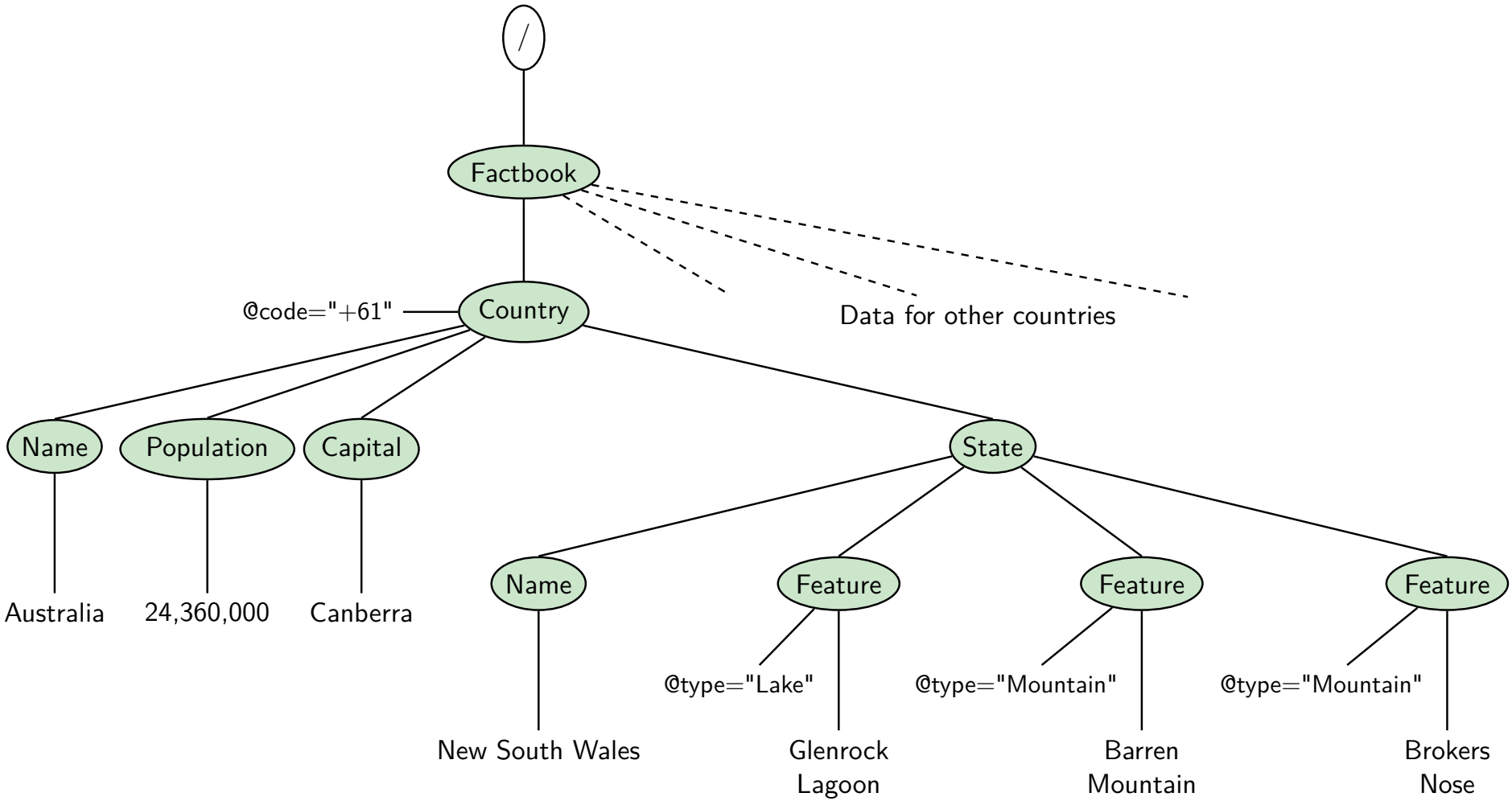


Ancestors of A

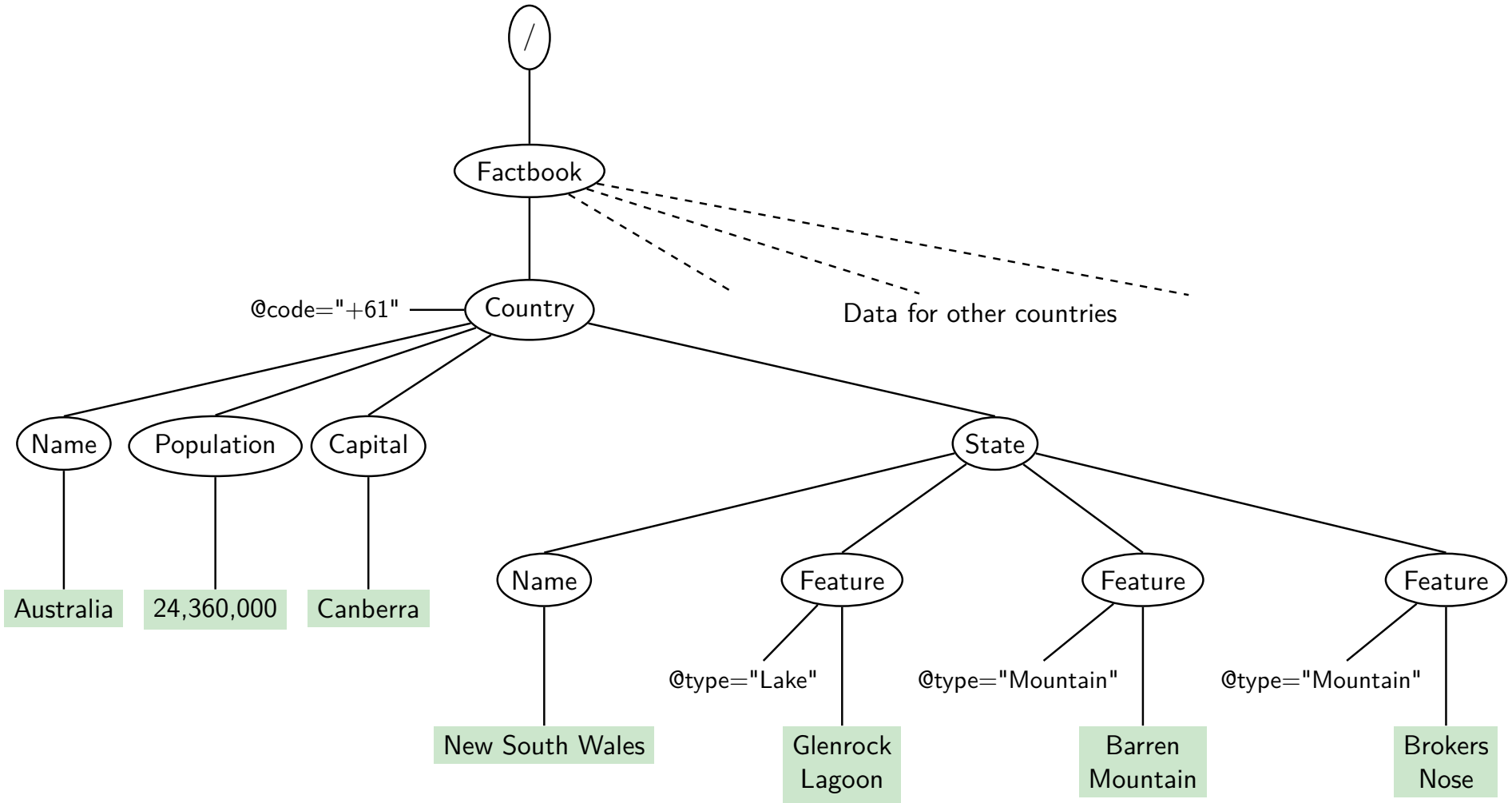
Node Naming: Root Node



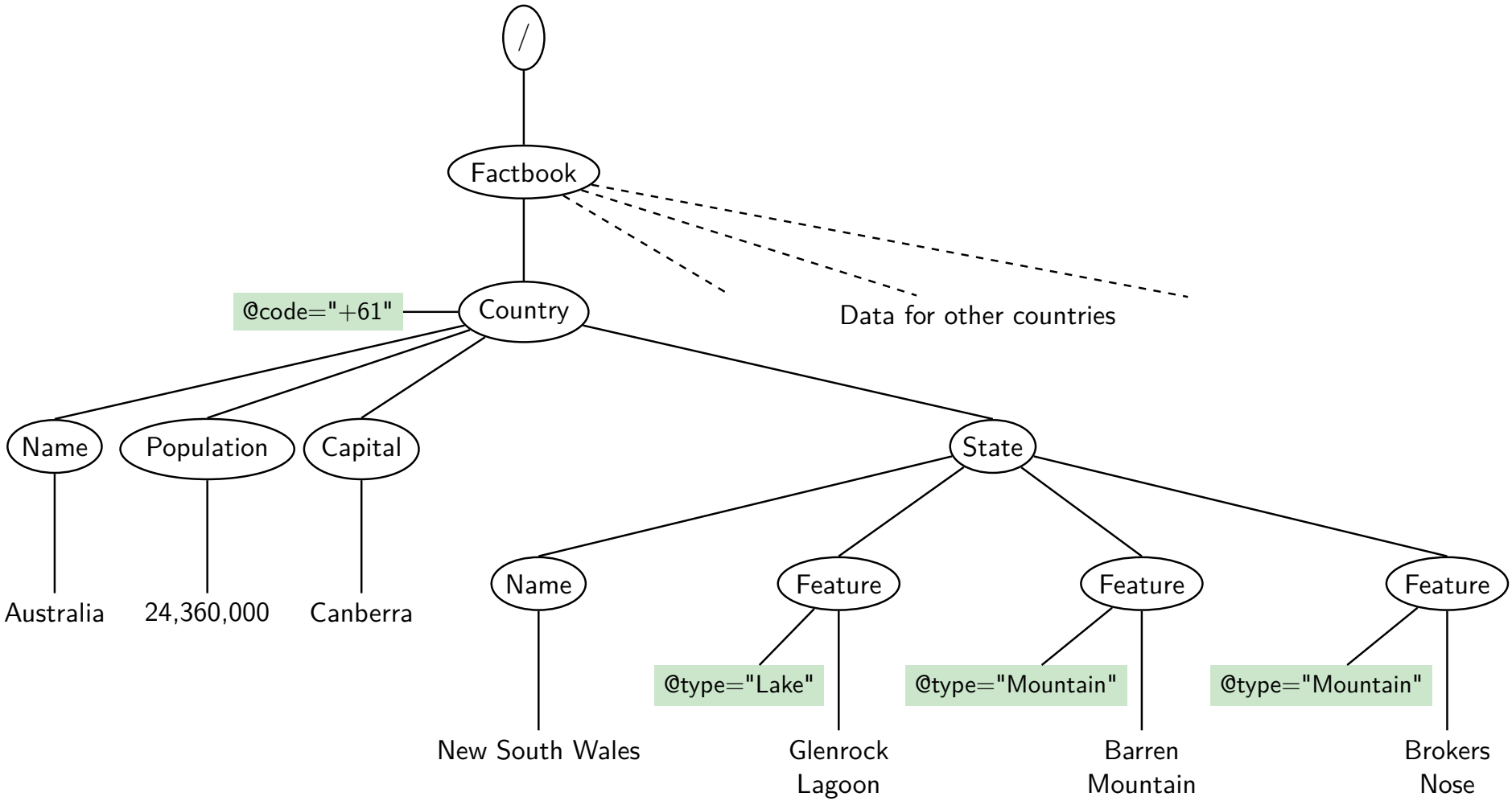
Node Naming: Element Nodes



Node Naming: Text Nodes



Node Naming: Attribute Nodes



Examples of Path Expressions

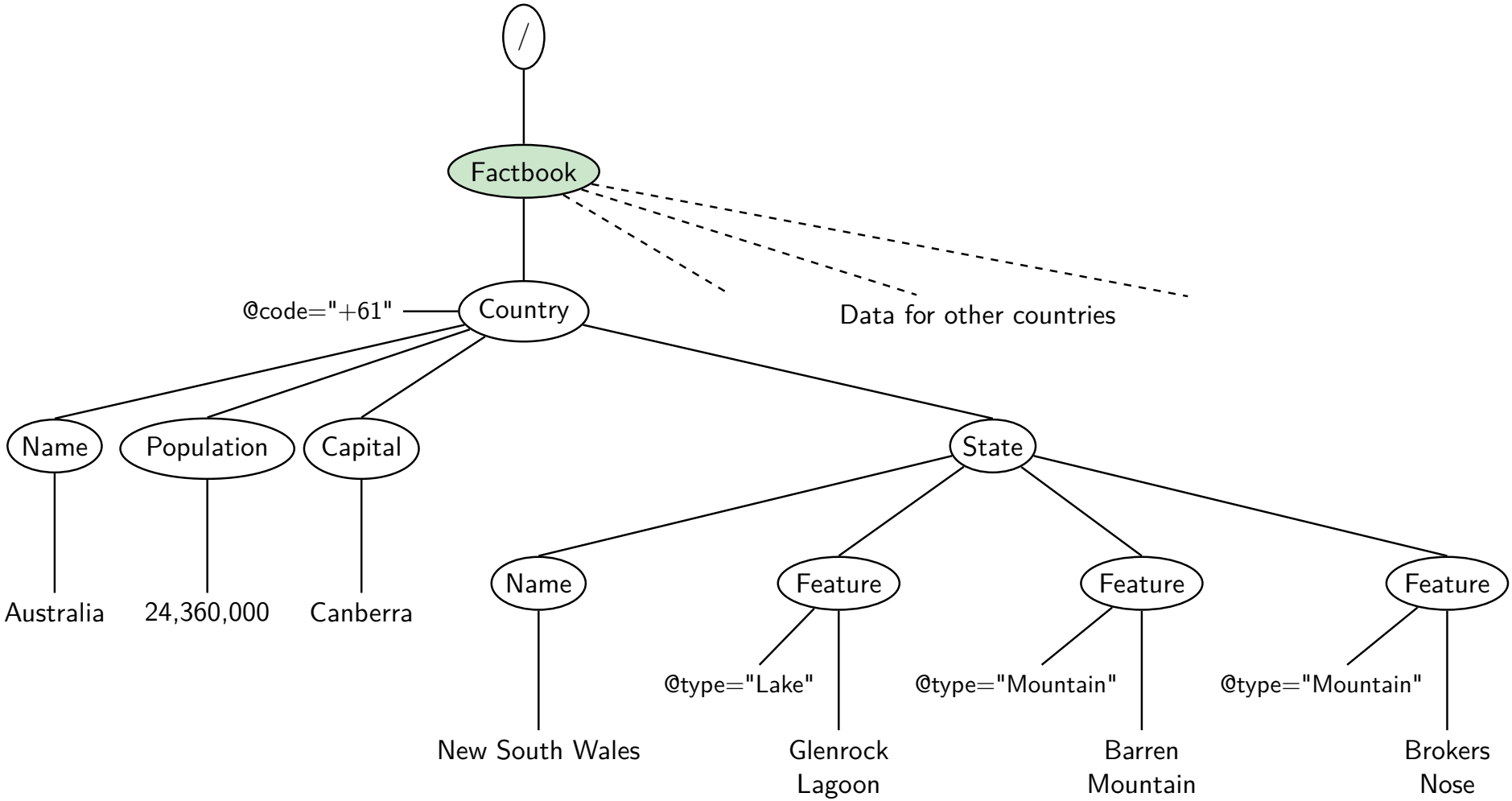
The next few slides illustrate a selection of path expressions applied to the factbook example. Each expression appears twice: once using a standard abbreviated syntax, and once using full XPath.

In each case, the nodes identified by the path are highlighted, and for a query would be retrieved in document order.

Paths are built up step-by-step as the path expression is read from left to right, with a *context node* that travels over the tree according to the components of the path expression.

The slash / at the start of a path expression indicates that the starting position for the context node is the document root.

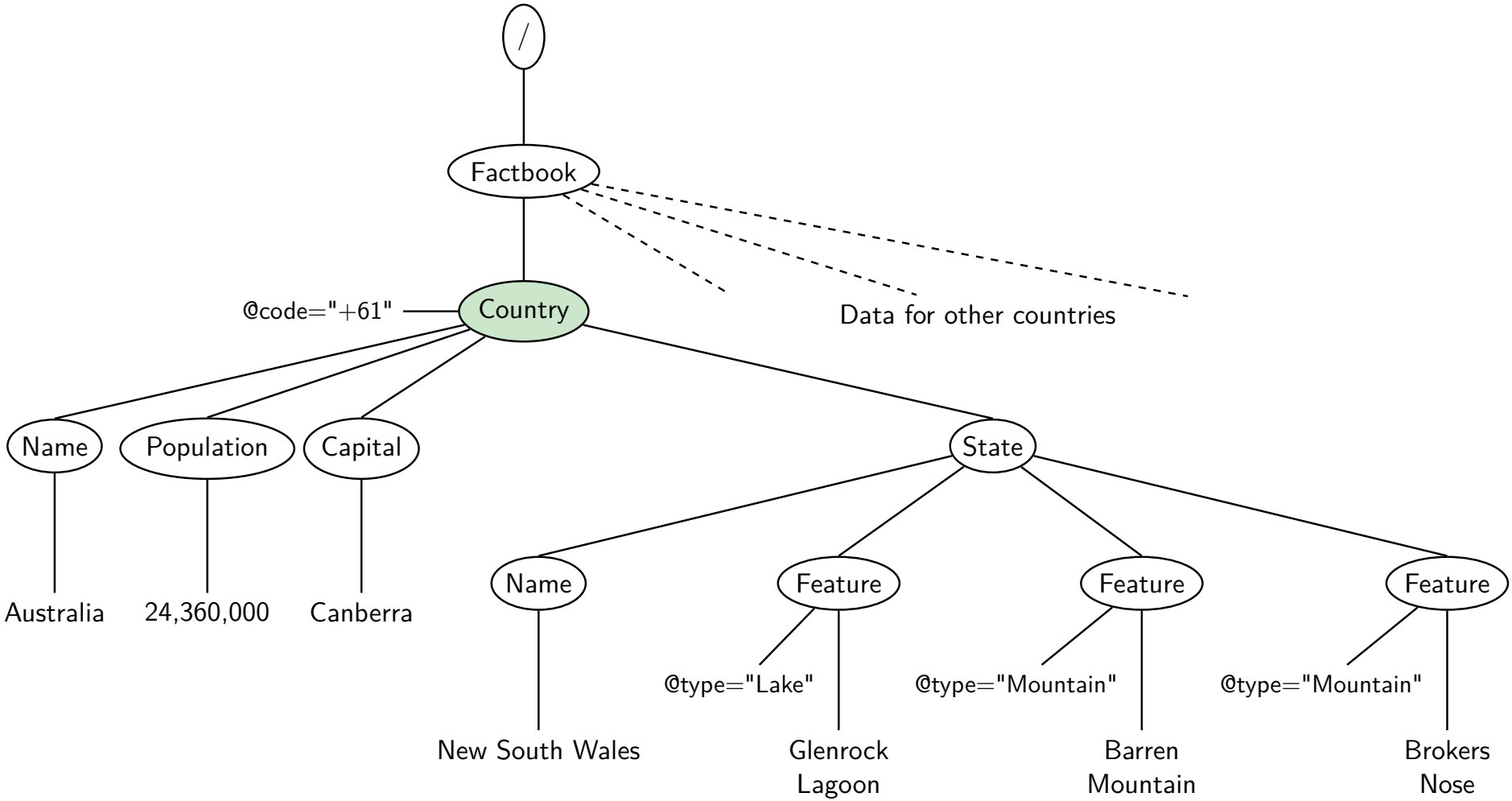
One Step



/Factbook

/**child**::Factbook

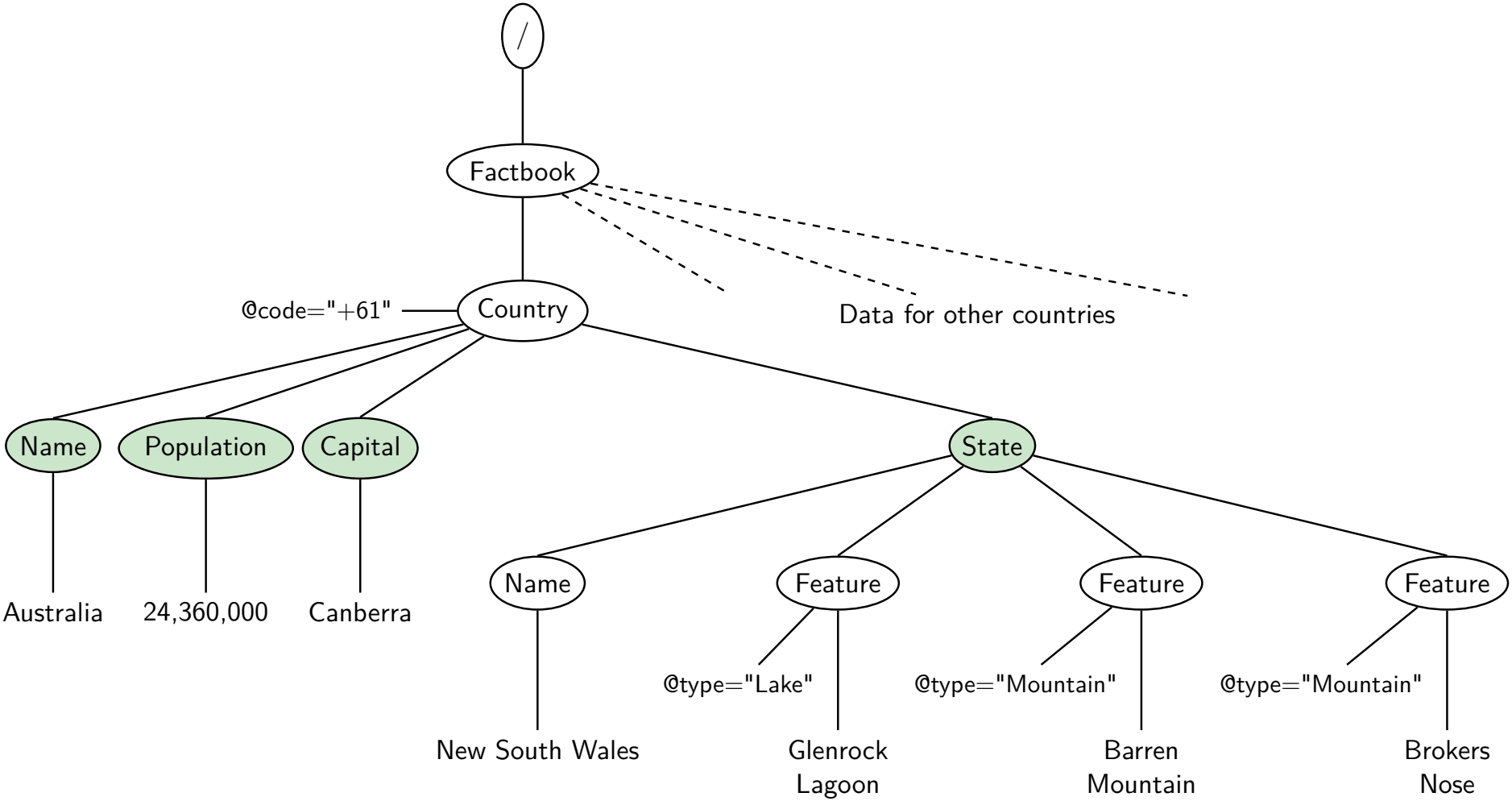
Two Steps



/Factbook/Country

/**child**::Factbook/**child**::Country

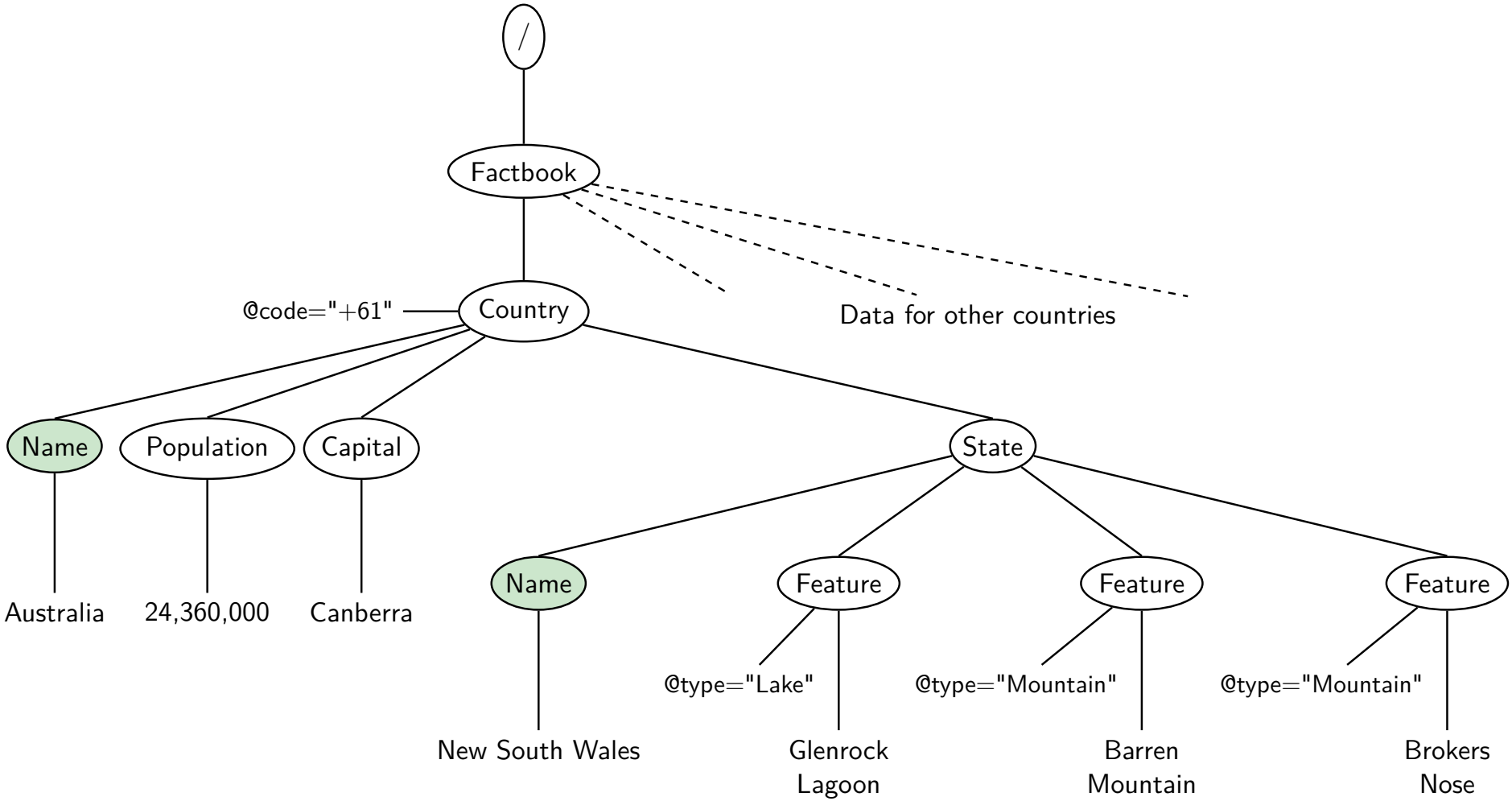
Children



/Factbook/Country/∗

/**child**::Factbook/**child**::Country/**child**::∗

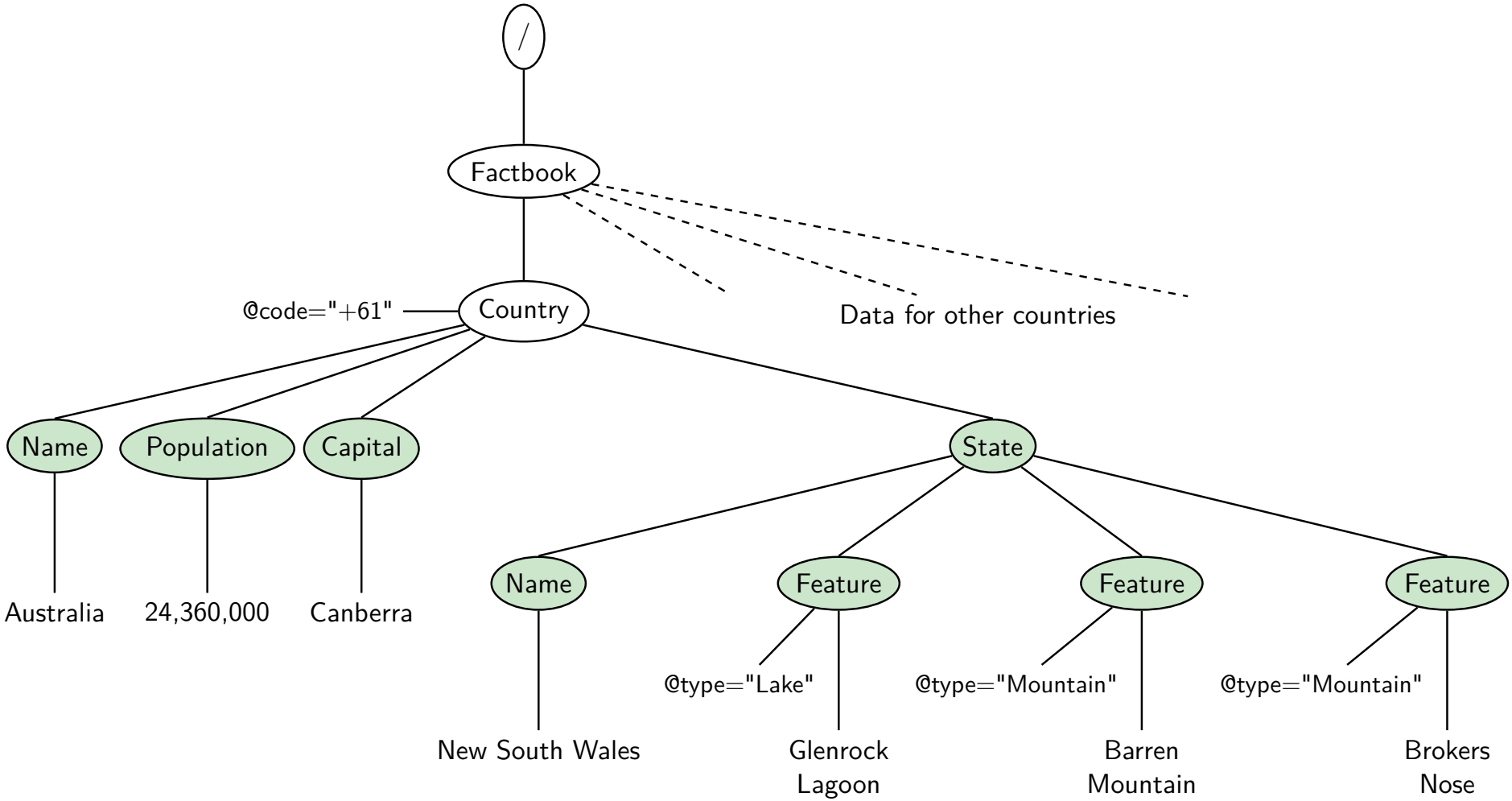
Many Steps




```
//Name
```

/**descendant**::Name

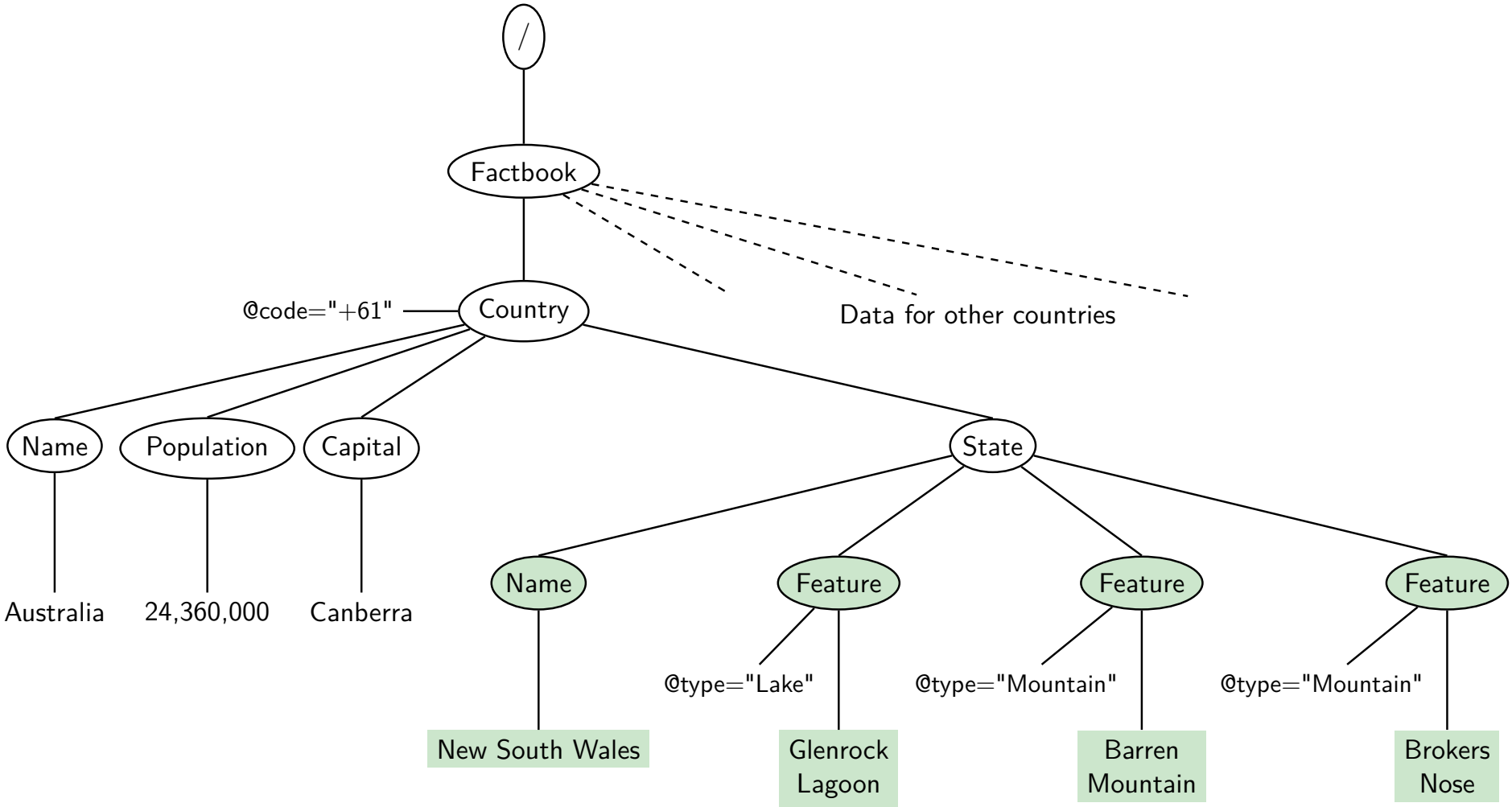
Matching Many Element Nodes



/Factbook/Country//∗

/**child**::Factbook/**child**::Country/**descendant**::∗

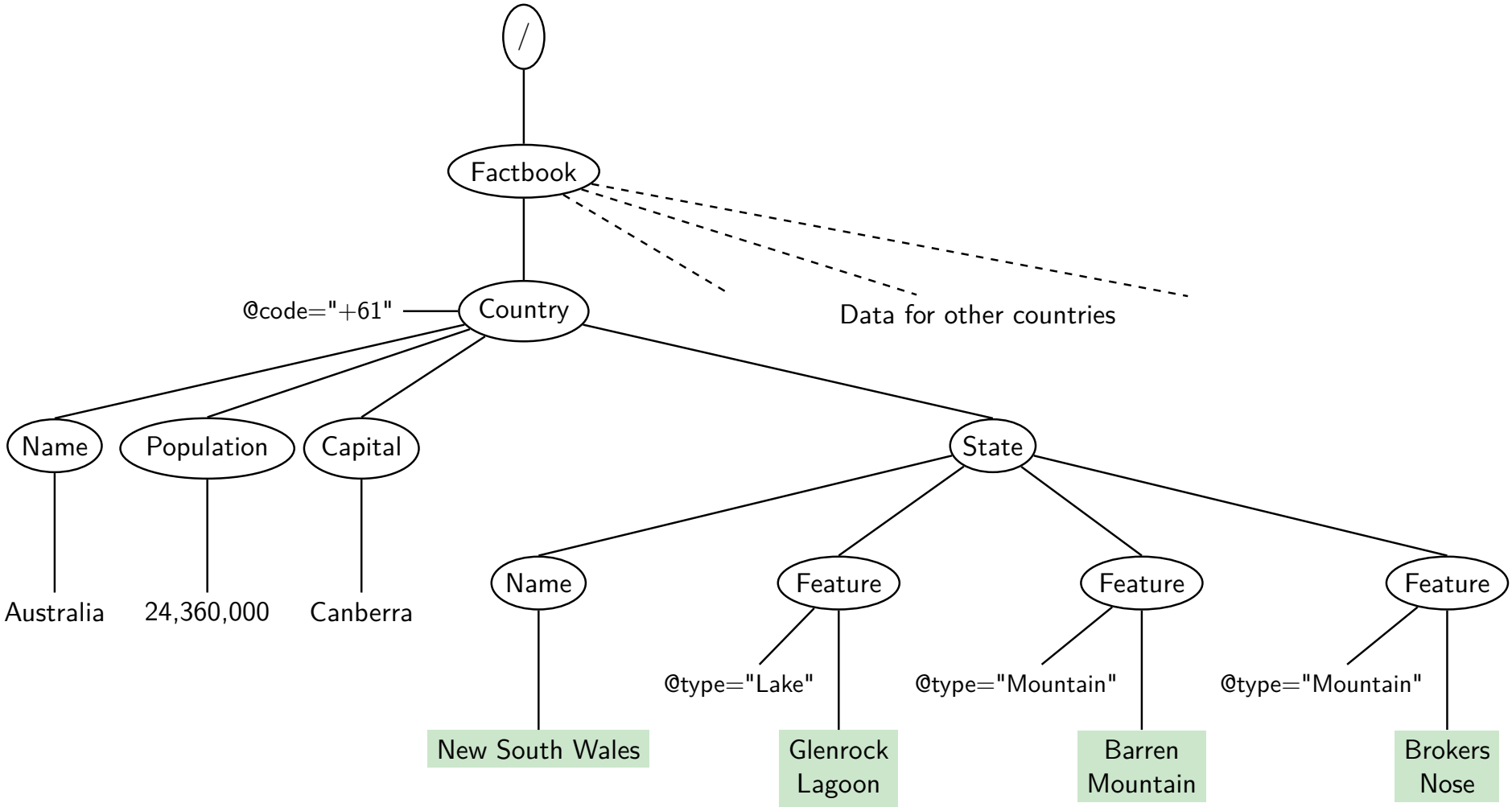
Matching Element and Text Nodes




```
//State//node()
```

/**descendant**::State/**descendant**::node()

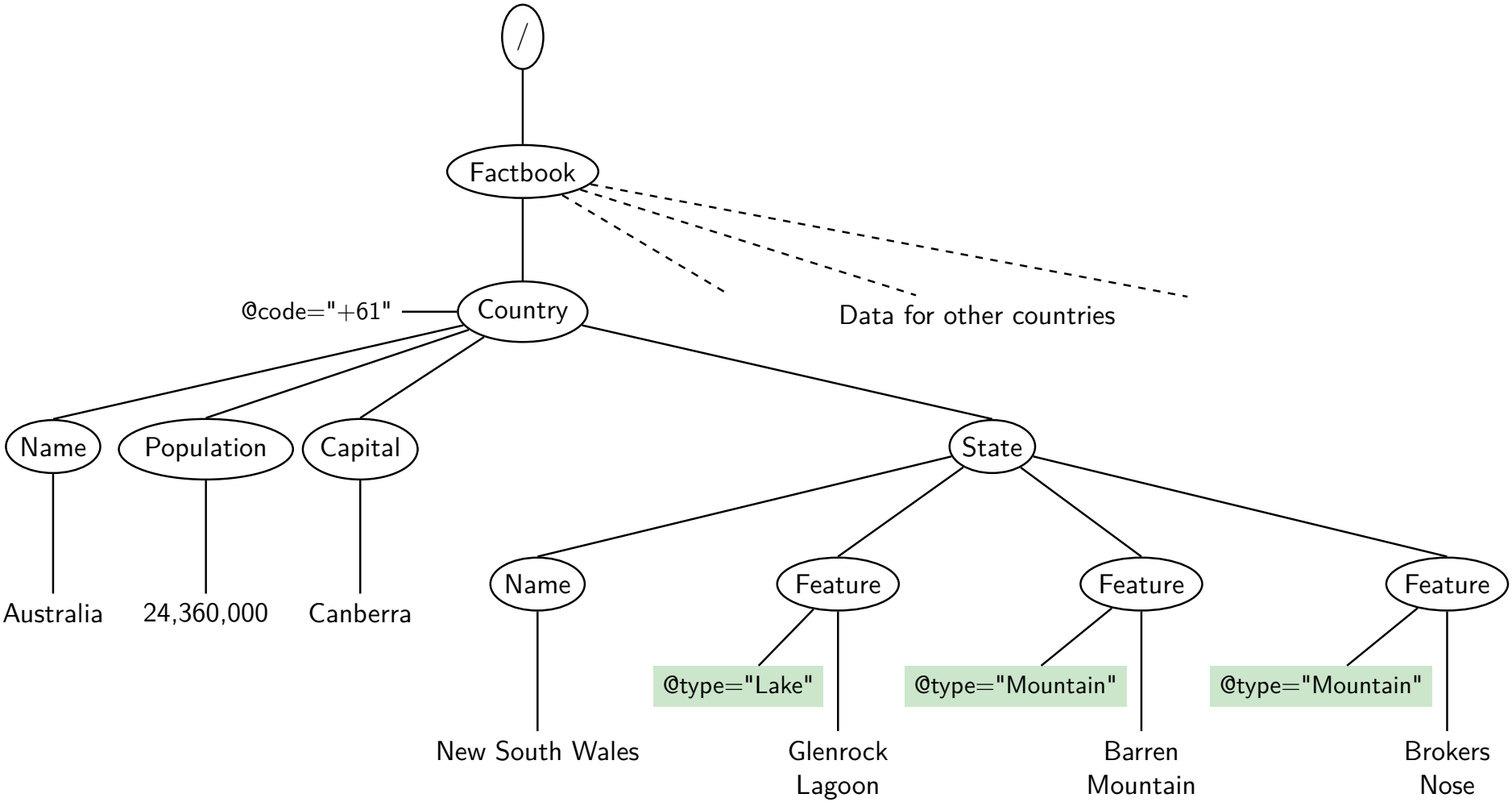
Matching Text Nodes




```
//State//text()
```

/**descendant**::State/**descendant**::text()

Matching Attribute Nodes

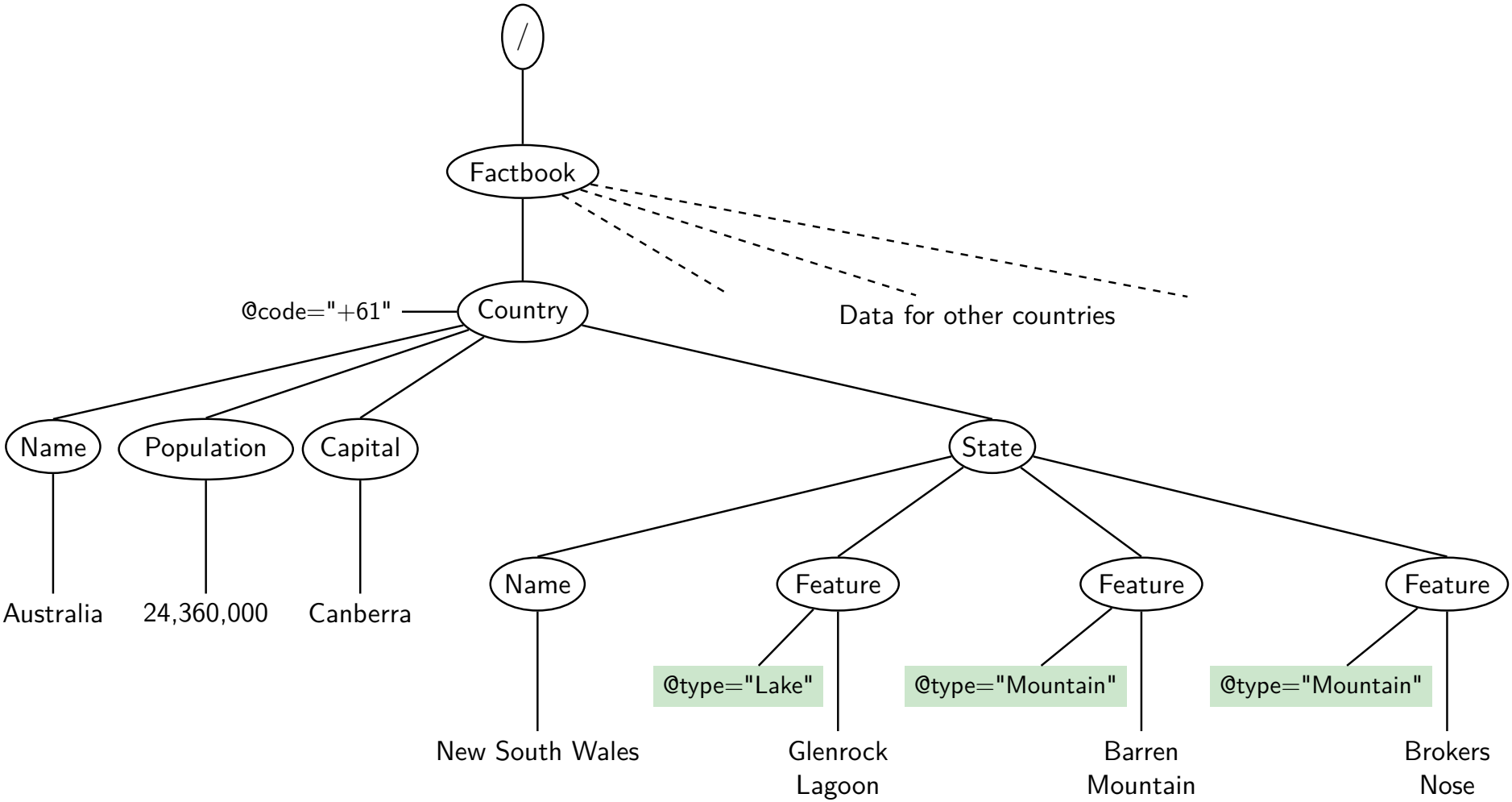


//Feature/@type

/**descendant**::Feature/**attribute**::type

Character Sets

Matching Attribute Nodes



//Feature/@type

/**descendant**::Feature/**attribute**::type

Syntax for Path Expressions

A *path expression* is a sequence of *location steps* separated by a / character.

Each location step has the form

$$\langle axis \rangle :: \langle node\text{-}test \rangle \langle predicate \rangle^*$$

- The *axis* indicates which way the context node moves.
- The *node test* selects nodes of an appropriate type.
- The optional *predicates* supply further conditions that need to be satisfied to continue with the path.

The examples so far used the **child** and **descendant** axes; node-tests node(), text(), $*$, and individual names; and no predicates.

Some Axes

Different axes point in different directions from the current context node.

- **child**: immediate children (attribute nodes don't count)
- **descendant**: any descendants (again, not attribute nodes)
- **parent**: the unique parent (root has no parent)
- **attribute**: all attribute nodes
- **self**: the context node itself
- **descendant-or-self**: the context node together with its descendants.
- **ancestor**: parent, grandparent, up to the root
- **ancestor-or-self**: the context node together with its ancestors.

Some Node Tests

Node tests select among all nodes along the current axis.

- text(): nodes with character data.
- node(): all kinds of node.
- $*$: all nodes of the "principal" node type for this axis: for the **attribute** axis, this is attribute nodes; for any other axis, element nodes. Never text nodes.
- *name*: nodes with the given name.

  The names used for node tests in the earlier examples were: Factbook, Country, State, Feature and type.

XPath Abbreviations

Complete path expressions can become cumbersome, and XPath provides a number of abbreviations for the basic operations.

- The **child**:: axis is default and can be omitted
- Syntax @ is an abbreviation for **attribute**::
- Syntax // is an abbreviation for /**descendant-or-self**::node()/
- Syntax .. is an abbreviation for **parent**::node()
- Syntax . is an abbreviation for **self**::node()

Some Predicates

The node test in a location step may be followed by zero, one or several predicates each given by an expression enclosed in square brackets.

[*locationPath*]

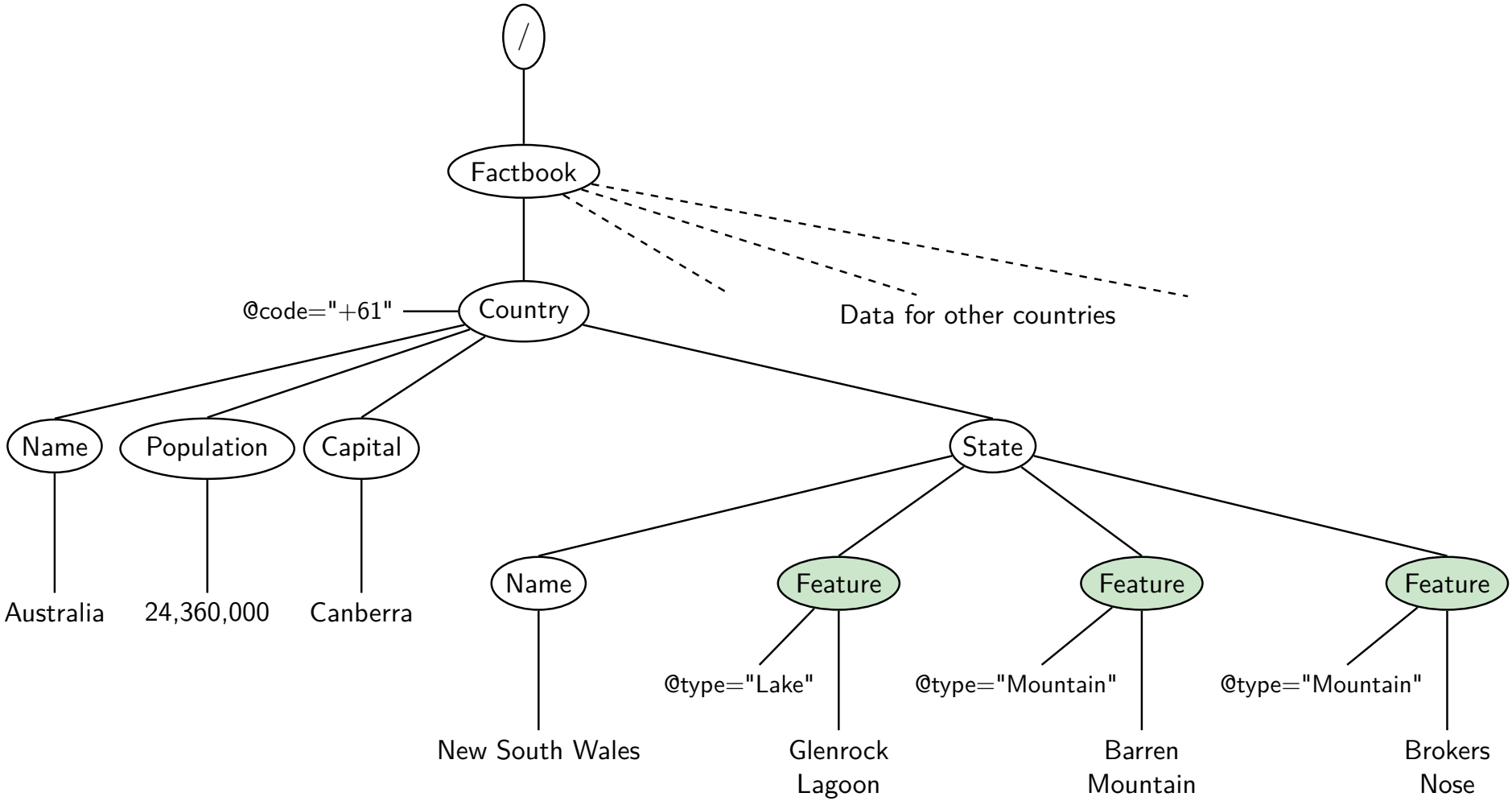
> Selects only those nodes for which there exists a continuation path matching *locationPath*.

[*locationPath*=*value*]

> Selects nodes for which there is a continuation path matching *locationPath* where the final node of the path is equal to *value*.

The full syntax of XPath predicate expressions includes arithmetic operations and further path queries, and is beyond the scope of this course.

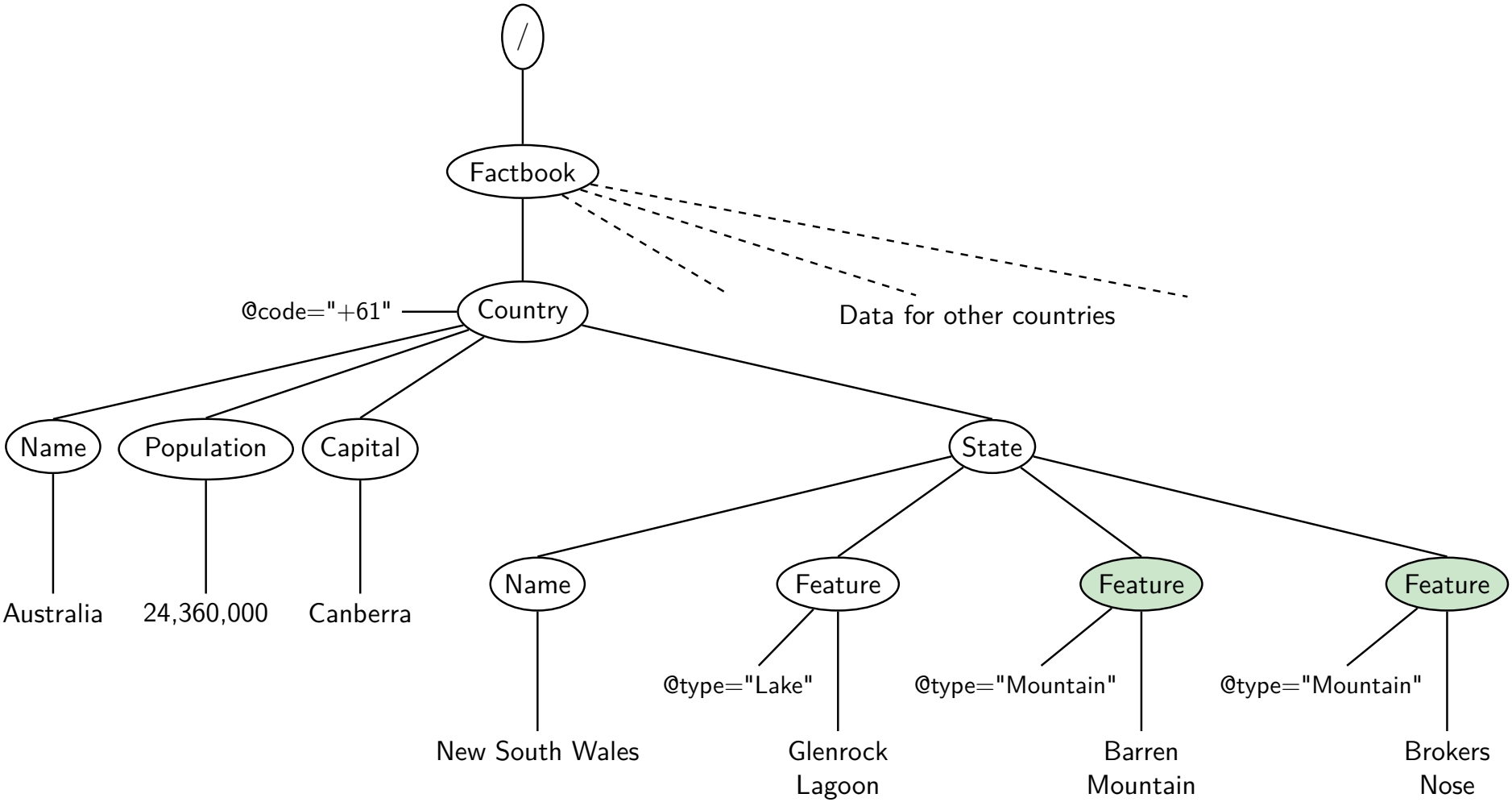
Path Predicate




```
//*[@type]
```

/**descendant**::*[**attribute**::type]

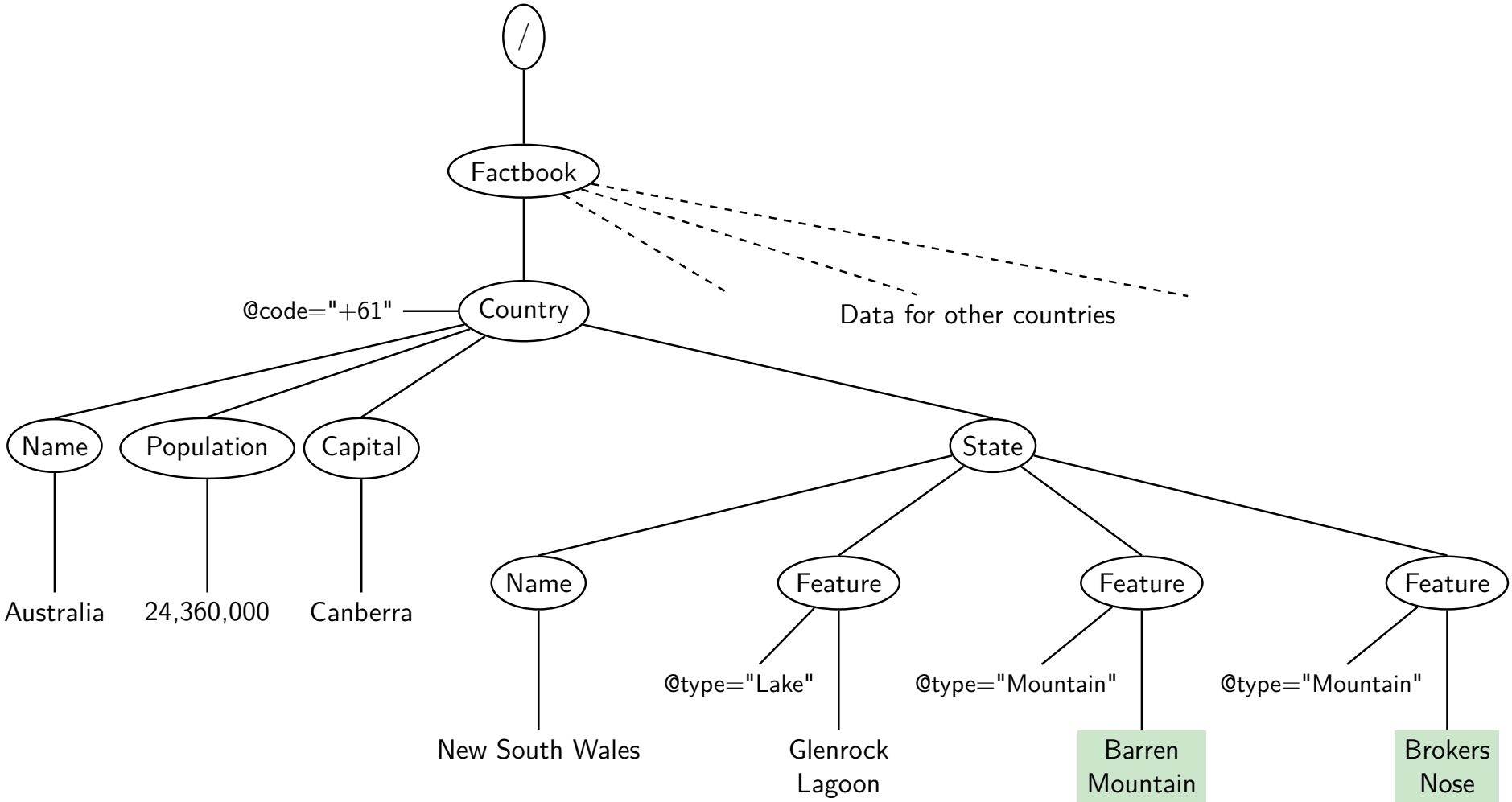
Path Predicate with Value




```
//*[@type="Mountain"]
```

/**descendant**::*[**attribute**::type="Mountain"]

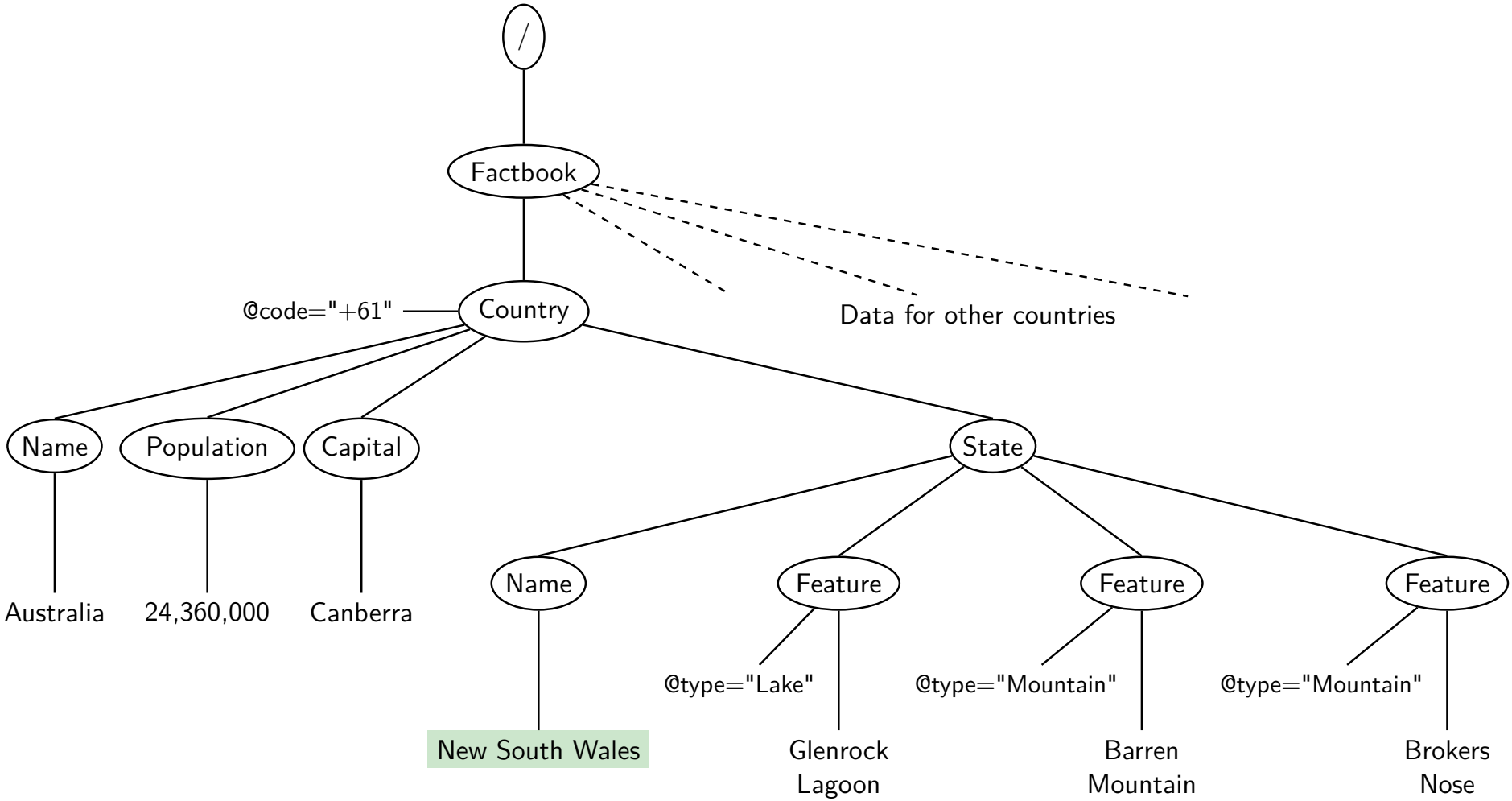
Path Predicate and Further Navigation




```
//*[@type="Mountain"]/text()
```

/**descendant**::*[**attribute**::type="Mountain"]/**child**::text()

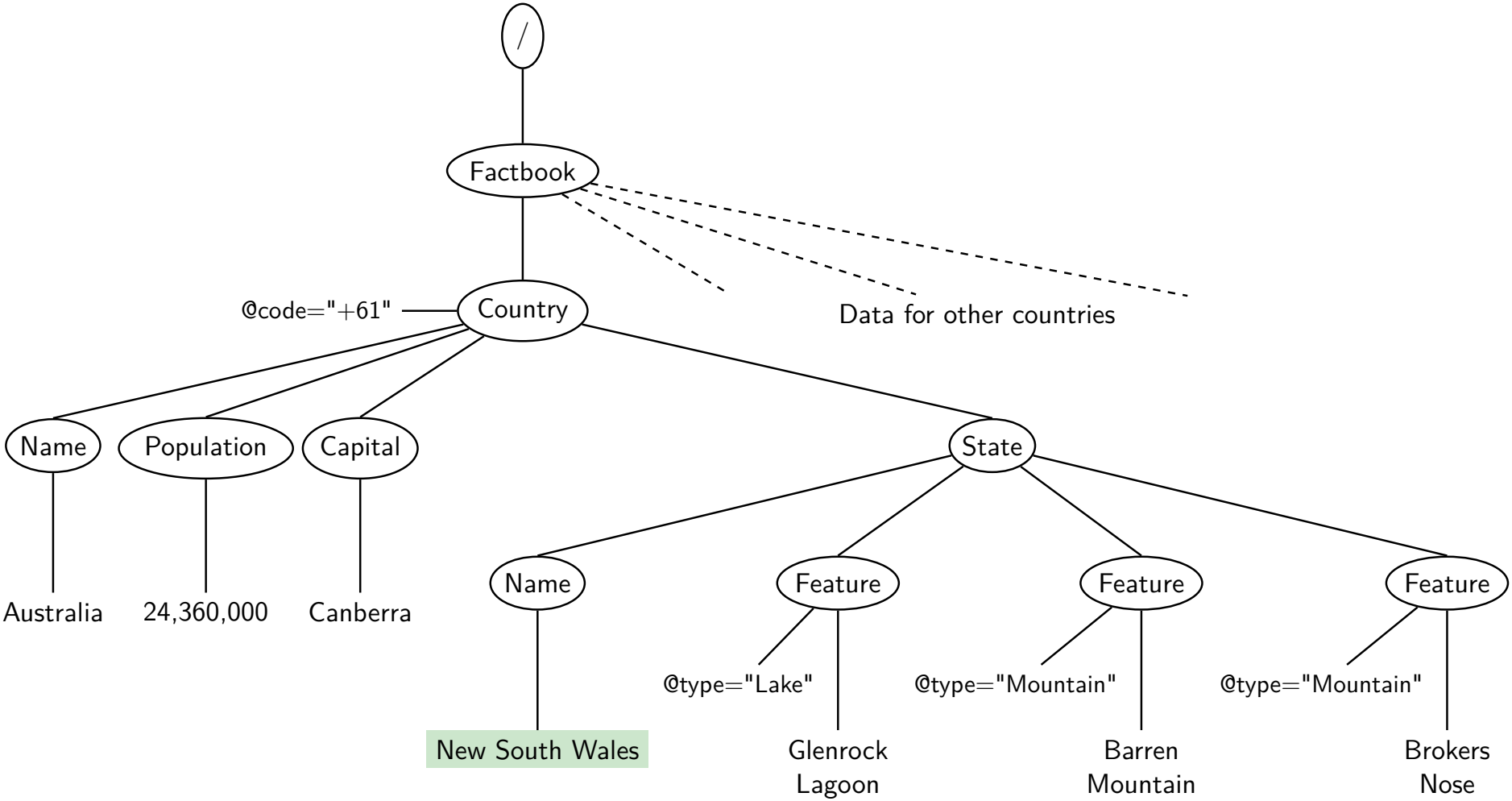
Navigation All Around




```
//Feature[@type="Mountain"]/../Name/text()
```

/**descendant**::Feature[**attribute**::type="Mountain"]/**parent**::∗/**child**::Name/**child**::text()

Different Ways to the Same End




```
//*[Feature/@type="Mountain"]/Name/text()
```

/**descendant**::*[Feature/**attribute**::type="Mountain"]/**child**::Name/**child**::text()

XPath as Query Language

These last examples begin to show XPath as a query language, in this case identifying in turn:

- All features which are mountains;
- The names of all mountains;
- The names of all states containing mountains.

As with relational databases, a key challenge in implementing XPath and XQuery searches is not just to find algorithms that will do this, but to devise ones that will run efficiently on large XML datasets.

This is an active research area, with significant traffic from pure academic research to real-world impact.

Navigational Queries

XPath and XQuery use a *navigational* approach to formulating database queries. This was a standard model for database interrogation some decades ago, before the arrival of Codd's relational method.

Navigational querying, and its efficient implementation, has lately become a growing field — in part due to the rise in semistructured data and XML, but also the use of *graph databases* (remember Facebook Graph Search).

> A navigational query engine may have to do considerable work to transform an intuitive walk around a tree or graph into an appropriate form for efficient computation over large data.

Note on Paths to Descendants in Predicates

Name all countries containing a feature called "Salmon River"

We can select this from a factbook with the following XPath expression:

```
//Country[.//Feature/text()="Salmon River"]/Name/text()
```

Note the use of '.' to start a predicate path at the current context node.

However, this other — apparently very similar — expression won't do:

```
//Country[//Feature/text()="Salmon River"]/Name/text()
```

Without '.' the predicate `//Feature/text()` goes back to the root node.

More on XPath

Full XPath has a host of other features, including: navigation based on document order, position and size of context; name spaces; and a rich expression language. XPath 2.0 and XPath 3.0 add yet more.

Further Reading

The official W3C specification: http://www.w3.org/TR/xpath

Wikipedia on XPath: http://en.wikipedia.org/wiki/Xpath

The (wildly optimistic) *10-minute XPath Tutorial*: http://is.gd/xpath10

Homework

Read This

T. McEnery and A. Wilson.
Corpus Linguistics. Second edition, Edinburgh University Press, 2001.
Chapter 2: What is a corpus and what is in it? **(§2.2.2 optional)**

Distributed by email, some printed copies, more at the ITO.

Do This

Start on the Tutorial 5 exercises as soon as you are done with Tutorial 4.
In these you use the `xmllint` command-line tool to check validity of XML against a DTD and run your own XPath queries.

Summary

Finding Information in XML Documents

Several domain-specific languages exist to process and analyse XML documents: to extract information of interest, to transform one document into another, or sometimes both at once.

Path Expressions

XPath is a language for navigating XML documents by writing path expressions that identify a route from one node to another.

A path expression is a sequence of location steps, each of which starts at a context node and moves along a specified axis to apply a node test and possible further predicates.

Query Language

Each XPath expression can be used to select a set of nodes: all possible destinations that can be reached by following the path described.